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Attorney Docket No. UMC-98-254 CON2
Client/Matter No. 81848.0019.002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kun-Lin Wu and Meng-Jin Tsai

Serial No. 09/990,948

Filed: November 20, 2001

For: CHEMICAL-MECHANICAL POLISHING METHOD

Group Art Unit: 2825

Examiner: Yevsikov, Victor V.

Confirmation No.: 4310

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97

MAIL STOP RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:


Applicant hereby submits for filing under 37 CFR 1.97 a disclosure statement. In submitting this reference, no representation is made or implied that the reference is or is not material to the examination of this application. The patents, publications or other information of which Applicant is presently aware are listed in Form PTO/SB/08A submitted herewith and copies of such patents and English translation are attached hereto.

This disclosure statement is submitted after receipt of Notice of Allowance and with a Request for Continued Examination in order for the enclosed reference materials to be reviewed by the Examiner. No additional fee is believed due for this submittal. However, any fee deficiency associated with this submittal may be charged to Deposit Account No. 50-1123.

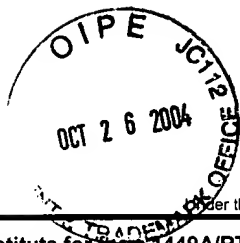
Respectfully submitted,

10/27/04

Date



Peter J. Meza, Reg. No. 32920
HOGAN & HARTSON LLP
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(719) 448-5906 Tel
(303) 899-7333 Fax



Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	09/990,948
				Filing Date	November 20, 2001
				First Named Inventor	Kun-Lin Wu et al.
				Art Unit	2825
				Examiner Name	Yevsikov, Victor V.
Sheet	1	of	1	Attorney Docket No.	UMC-98-254 CON2

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. ¹	Document No. No. – Kind Code ²	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,506,177	04/09/1996	Kishimoto et al.	
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵				
		JP 8-037187	05/17/1995	Sanyo Electric Co. Ltd.		Yes
		JP 9-82800	03/28/1997	Hitachi Ltd.		Yes
		JP 10-56009	02/24/1998	NEC Corporation		Yes
		JP 10-173049	06/26/1998	Fujitsu Ltd.		Yes

EXAMINER SIGNATURE		DATE CONSIDERED	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.